Benchmark



Guide to proxy voting

Fusion Wealth Platform

Version 1.1 - November 2022

Contents

Background		3
	r Rights Directive II	
Relevance to invest	tors on the Fusion Wealth Platform	3
Proxy voting		3
Guide to voting 4		
Charges for the	proxy voting service	4
Notifications		4
ProxyVote		5
	ance	

Background

The Shareholder Rights Directive II

The Shareholder Rights Directive II (SRD II) is a European directive which was adopted by the UK government in 2019. In plain terms, the legislation seeks to encourage shareholders and institutional investors to actively engage with the investments they hold, and to strengthen their position by adopting a long-term focus in their investment strategies, considering social and environmental issues.

It aims to improve shareholder engagement by formalising market practice around the identification of shareholders and the exercising of shareholder rights, including voting rights relating to shares issued by European companies and traded on European markets.

This document explains how we meet our obligations to our clients and the objectives of SRD II.

Relevance to investors on the Fusion Wealth Platform

Proxy voting

Where you hold shares in a publicly traded company, referred to as equities, you may have the right to vote on matters affecting the running of that company, such as the election of directors or a proposed merger or acquisition.

Where your shares are held through a custody service like the Fusion Wealth Platform, this is achieved by means of a proxy vote, meaning that a vote is cast for you on your behalf and on your instructions.

Under SRD II we are required to provide a proxy voting service to shareholders in European equities, and to ensure the greatest benefit to our clients we provide the same service to shareholders in UK equities.

Discretionary investments

Where your portfolio or account on the Fusion Wealth Platform is managed for you by a discretionary fund manager (DFM) or other investment manager, we would contact the investment manager you have appointed to vote on your behalf in the event of a shareholder vote relating to a UK or European equity.

Fusion Wealth offers discretionary investment management in the form of the Fusion Wealth Model Portfolio Service, as well as through access to model portfolio and DFM services provided by a wide range of investment managers.

Fusion Wealth has a published Shareholder Engagement Policy Statement in respect of its investment management services, which can be found here. If you have appointed another investment manager to manage your assets on the Fusion Wealth Platform their policy will apply. Please ask your adviser for more information.

Non-discretionary investments

Where you and your adviser make decisions together about the assets you hold on the Fusion Wealth Platform we will contact you in the event of a shareholder vote relating to a European equity you hold. This notification may come directly from Fusion Wealth, or it may come from a third-party provider, Broadridge Financial Services, who we appoint to facilitate proxy voting on our behalf.

The communication you receive will include details of the vote taking place, and of your voting options, and will include details of how to cast your vote and any deadlines which may apply. It will also include details of what will happen if you decide not to exercise your right to vote. Please note that votes cast after the deadline will not be processed.

If you receive a proxy voting form, and you are unsure what to do, you should contact your adviser, or Fusion Wealth if you do not have an adviser.

Guide to voting

Charges for the proxy voting service

The following charges apply to the proxy voting service:

Electronic proxy voting service	Free of charge
In person attendance at company meeting	£250 per company meeting

Please refer to your Fusion Wealth Charges Schedule for full details.

Notifications

In the event of a corporate event entitling you to vote, you will receive an email notification like that shown in the example below. This will include the company details and a link to the online voting service.

FUSION WEALTH LIMITED



ProxyVote

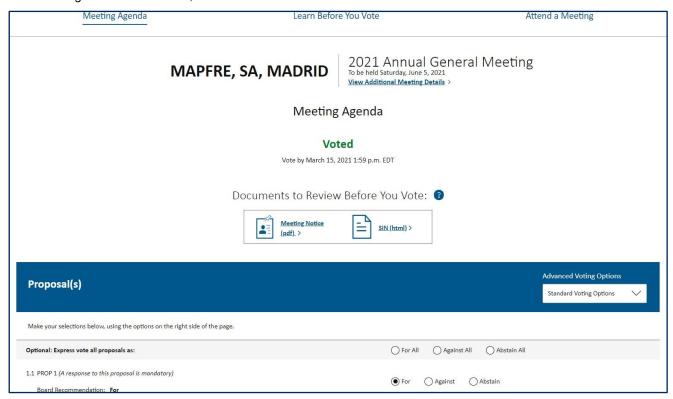
ProxyVote is the online voting service provided by Broadridge Financial Services which we have selected to offer shareholders a simple and intuitive voting experience. ProxyVote allows shareholders to vote from any device, securely and quickly, and view full meeting and resolution details.

Voting screen

When accessing ProxyVote, users will be presented with a voting screen where they can review meeting and agenda details, review materials presented by the company, and submit voting instructions.

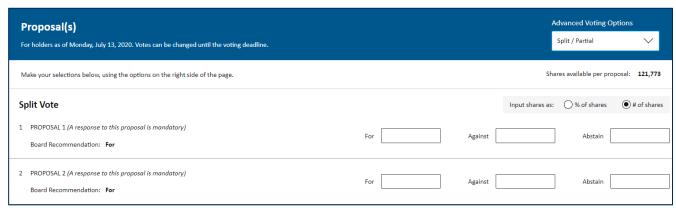
The Meeting Agenda page displays company information and meeting details, as well as links to reference materials made available by the company.

Where multiple resolutions require a vote, users may individually enter voting instructions for each resolution, or may vote for or against all resolutions, or abstain for all.



Split/partial voting screen

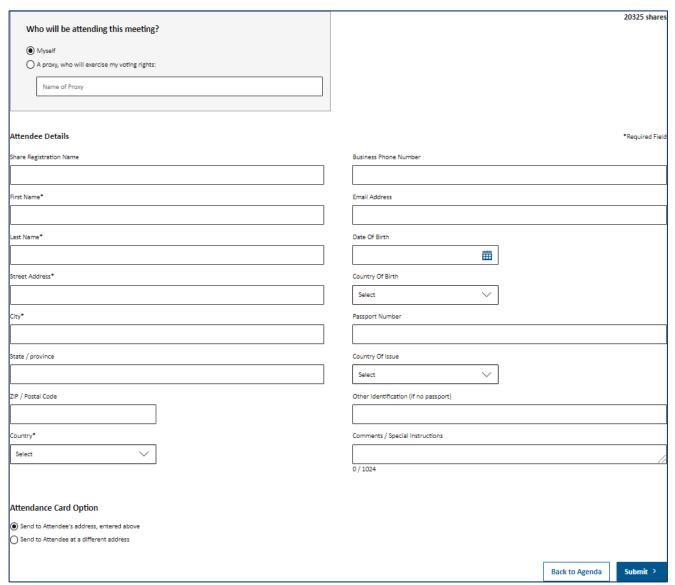
User may select the Split/Partial option from the Voting Options menu to enter shares as absolute or percentage values in the for, against or abstain input boxes.



Meeting attendance

Shareholders may submit a request via ProxyVote to attend a meeting and vote in person. If a meeting attendance request is submitted, shareholders will no longer be able to vote electronically. This prevents duplicative votes from being lodged for the same resolution.

When the shareholder selects 'Attend a Meeting,' the screen will display the meeting attendance application form. Different markets may require different shareholder information so as much information as possible should be provided. The Comments/Special Instructions field allows the shareholder to specify any additional information relevant to the processing of the request.



Please note that there is a £250 charge for shareholders to attend a company meeting in person.

Important information: Fusion Wealth Limited is authorised and regulated by the Financial Conduct Authority, the registration number is 541404. Registered office: Broadlands Business Campus, Langhurst Wood Road, Horsham, West Sussex, England, RH12 4QP. Registered in England and Wales No 07469060.