

**Benchmark**



# **Guide to proxy voting**

**Fusion Wealth Platform**

**Version 1.1 – November 2022**

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# Background

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## The Shareholder Rights Directive II

The Shareholder Rights Directive II (SRD II) is a European directive which was adopted by the UK government in 2019. In plain terms, the legislation seeks to encourage shareholders and institutional investors to actively engage with the investments they hold, and to strengthen their position by adopting a long-term focus in their investment strategies, considering social and environmental issues.

It aims to improve shareholder engagement by formalising market practice around the identification of shareholders and the exercising of shareholder rights, including voting rights relating to shares issued by European companies and traded on European markets.

This document explains how we meet our obligations to our clients and the objectives of SRD II.

## Relevance to investors on the Fusion Wealth Platform

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### Proxy voting

Where you hold shares in a publicly traded company, referred to as equities, you may have the right to vote on matters affecting the running of that company, such as the election of directors or a proposed merger or acquisition.

Where your shares are held through a custody service like the Fusion Wealth Platform, this is achieved by means of a proxy vote, meaning that a vote is cast for you on your behalf and on your instructions.

Under SRD II we are required to provide a proxy voting service to shareholders in European equities, and to ensure the greatest benefit to our clients we provide the same service to shareholders in UK equities.

### Discretionary investments

Where your portfolio or account on the Fusion Wealth Platform is managed for you by a discretionary fund manager (DFM) or other investment manager, we would contact the investment manager you have appointed to vote on your behalf in the event of a shareholder vote relating to a UK or European equity.

Fusion Wealth offers discretionary investment management in the form of the Fusion Wealth Model Portfolio Service, as well as through access to model portfolio and DFM services provided by a wide range of investment managers.

Fusion Wealth has a published Shareholder Engagement Policy Statement in respect of its investment management services, which can be found [here](#). If you have appointed another investment manager to manage your assets on the Fusion Wealth Platform their policy will apply. Please ask your adviser for more information.

### Non-discretionary investments

Where you and your adviser make decisions together about the assets you hold on the Fusion Wealth Platform we will contact you in the event of a shareholder vote relating to a European equity you hold. This notification may come directly from Fusion Wealth, or it may come from a third-party provider, Broadridge Financial Services, who we appoint to facilitate proxy voting on our behalf.

The communication you receive will include details of the vote taking place, and of your voting options, and will include details of how to cast your vote and any deadlines which may apply. It will also include details of what will happen if you decide not to exercise your right to vote. Please note that votes cast after the deadline will not be processed.

If you receive a proxy voting form, and you are unsure what to do, you should contact your adviser, or Fusion Wealth if you do not have an adviser.

# Guide to voting

## Charges for the proxy voting service

The following charges apply to the proxy voting service:


<b>Electronic proxy voting service</b>	Free of charge
<b>In person attendance at company meeting</b>	£250 per company meeting

Please refer to your **Fusion Wealth Charges Schedule** for full details.

## Notifications

In the event of a corporate event entitling you to vote, you will receive an email notification like that shown in the example below. This will include the company details and a link to the online voting service.

**FUSION WEALTH LIMITED**



**Your Vote Counts!**  
**CQS NATURAL  
RESOURCES GROWTH A**  
**2021 ANNUAL GENERAL MEETING**  
**Vote by 01-DEC-2020**


[Vote Now!](#)


As an investor in this security, you have the right to vote on important issues.  
Make your voice heard now!

**Control Number:** 2000132653496  
**Meeting Date:** 09-DEC-2020  
**ISIN Number:** GB0000353929

[Vote Now via Proxy Vote](#)

If you would like to cancel or change your enrolment details, or if you have any questions, please email Fusion Wealth ([dealings@fusionwealth.co.uk](mailto:dealings@fusionwealth.co.uk)).

 **Why Should I Vote?**  
Make your voice heard on critical issues like board elections, executive compensation, ESG and human rights. The outcome of the vote can affect the value of your shares.

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# ProxyVote

ProxyVote is the online voting service provided by Broadridge Financial Services which we have selected to offer shareholders a simple and intuitive voting experience. ProxyVote allows shareholders to vote from any device, securely and quickly, and view full meeting and resolution details.

## Voting screen

When accessing ProxyVote, users will be presented with a voting screen where they can review meeting and agenda details, review materials presented by the company, and submit voting instructions.

The Meeting Agenda page displays company information and meeting details, as well as links to reference materials made available by the company.

Where multiple resolutions require a vote, users may individually enter voting instructions for each resolution, or may vote for or against all resolutions, or abstain for all.

Meeting Agenda Learn Before You Vote Attend a Meeting

**MAPFRE, SA, MADRID** 2021 Annual General Meeting  
To be held Saturday, June 5, 2021  
[View Additional Meeting Details >](#)

Meeting Agenda

**Voted**  
Vote by March 15, 2021 1:59 p.m. EDT

Documents to Review Before You Vote: ?

Meeting Notice (pdf) > SIN (html) >

**Proposal(s)** Advanced Voting Options  
Standard Voting Options

Make your selections below, using the options on the right side of the page.

Optional: Express vote all proposals as:  For All  Against All  Abstain All

1.1 PROP 1 (A response to this proposal is mandatory)  For  Against  Abstain  
Board Recommendation: For

## Split/partial voting screen

User may select the Split/Partial option from the Voting Options menu to enter shares as absolute or percentage values in the for, against or abstain input boxes.

**Proposal(s)** Advanced Voting Options  
Split / Partial

For holders as of Monday, July 13, 2020. Votes can be changed until the voting deadline.

Make your selections below, using the options on the right side of the page. Shares available per proposal: 121,773

**Split Vote** Input shares as:  % of shares  # of shares

1 PROPOSAL 1 (A response to this proposal is mandatory)  
Board Recommendation: For For  Against  Abstain

2 PROPOSAL 2 (A response to this proposal is mandatory)  
Board Recommendation: For For  Against  Abstain

## Meeting attendance

Shareholders may submit a request via ProxyVote to attend a meeting and vote in person. If a meeting attendance request is submitted, shareholders will no longer be able to vote electronically. This prevents duplicative votes from being lodged for the same resolution.

When the shareholder selects 'Attend a Meeting,' the screen will display the meeting attendance application form. Different markets may require different shareholder information so as much information as possible should be provided. The Comments/Special Instructions field allows the shareholder to specify any additional information relevant to the processing of the request.

20325 shares

**Who will be attending this meeting?**

Myself

A proxy, who will exercise my voting rights:

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**Attendee Details** \*Required Field

<p>Share Registration Name <input type="text"/></p> <p>First Name* <input type="text"/></p> <p>Last Name* <input type="text"/></p> <p>Street Address* <input type="text"/></p> <p>City* <input type="text"/></p> <p>State / province <input type="text"/></p> <p>ZIP / Postal Code <input type="text"/></p> <p>Country* <input type="text" value="Select"/></p>	<p>Business Phone Number <input type="text"/></p> <p>Email Address <input type="text"/></p> <p>Date Of Birth <input type="text" value=""/></p> <p>Country Of Birth <input type="text" value="Select"/></p> <p>Passport Number <input type="text"/></p> <p>Country Of Issue <input type="text" value="Select"/></p> <p>Other Identification (if no passport) <input type="text"/></p> <p>Comments / Special Instructions <input type="text" value=""/></p> <p style="font-size: small;">0 / 1024</p>
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**Attendance Card Option**

Send to Attendee's address, entered above

Send to Attendee at a different address

[Back to Agenda](#) [Submit >](#)

**Please note that there is a £250 charge for shareholders to attend a company meeting in person.**

**Important information:** Fusion Wealth Limited is authorised and regulated by the Financial Conduct Authority, the registration number is 541404. Registered office: Broadlands Business Campus, Langhurst Wood Road, Horsham, West Sussex, England, RH12 4QP. Registered in England and Wales No 07469060.